

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of

Docket No: Q64260

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Kazuo KURODA, et al.

MAY 0 7 2004

Appln. No.: 09/841,249

Group Art Unit: 2651

Technology Center 2600

Confirmation No.: 5288

Examiner: NOT YET ASSIGNED

Filed: April 25, 2001

For:

INFORMATION DISTRIBUTING APPARATUS AND METHOD, INFORMATION

RECORDING MEDIUM, AND INFORMATION RECORDING APPARATUS AND

**METHOD** 

## STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

 $\begin{array}{c} \text{washington office} \\ 23373 \\ \text{customer number} \end{array}$ 

Date: May 6, 2004

Respectfully submitted,

Darryl Mexic

Registration No. 23,063

#### PATENT APPLICATION

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**METHOD** 

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

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MAY 0 7 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

**Technology Center 2600** 

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith. Also, enclosed is a European Office Action in a corresponding European Patent Application, citing the references enclosed with this IDS.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/841,249

Attorney Docket No.: Q64260

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a

statement can be made, a statement is submitted herewith.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicants enclose herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of at least that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 23,063

Mya

Telephone: (202) 293-7060

SUGHRUE MION, PLLC

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: May 6, 2004

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Substitute for Form 1449 A & B/PTO	Complete if Known			
Substitute for Form 1449 A & B/FTO	Application Number	09/841,249		
INFORMATION DISCLOSURE	Confirmation Number	5288		
PE STATEMENT BY APPLICANT	Filing Date	April 25, 2001		
	First Named Inventor	Kazuo KURODA		
	Art Unit	2651		
use as many sneets as necessary)	Examiner Name	NOT YET ASSIGNED		
Sheet 1 of 1	Attorney Docket Number	O64260		

TRADEMA U.S. PATENT DOCUMENTS					
Examiner	Cite	. Document Number		Publication Date	
Initials*	No.1	Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US			
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FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Fo	Foreign Patent Document		Publication Date	Name of Patentee or	
Initials*	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		EP	0 802 527	A1	10/22/1997	Matsushita Electric Industrial Co., Ltd.	
		EP	1 058 254	A2	12/6/2000	Matsushita Electric Industrial Co., Ltd.	
		FR	2 784 830	A1	4/21/2000	Thomson Multimedia SA	
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NON PATENT LITERATURE DOCUMENTS			
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazi journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where publis		Translation	
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Examiner Signature	Date Co	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.